

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
DELETED PAGE INFORMATION SHEET  
FOI/PA# 1388385-000

Total Deleted Page(s) = 18

Page 4 ~ Duplicate;

Page 12 ~ b6; b7C;

Page 42 ~ Duplicate;

Page 49 ~ b6; b7C; b7D; b7E;

Page 50 ~ b6; b7C; b7D; b7E;

Page 51 ~ b6; b7C; b7D; b7E;

Page 52 ~ b6; b7C; b7D; b7E;

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Page 117 ~ b3; b5; b6; b7C; b7D; b7E;

Page 118 ~ b3; b5; b6; b7C; b7D; b7E;

Page 119 ~ b3; b5; b6; b7C; b7D; b7E;

XXXXXXXXXXXXXXXXXXXXXXX

X Deleted Page(s) X

X No Duplication Fee X

X For this Page X

XXXXXXXXXXXXXXXXXXXXXXX

06/29/2007 13:51

PAGE 02/05

(Rev. 01-31-2003)

**FEDERAL BUREAU OF INVESTIGATION**

Precedence: ROUTINE

Date: 06/28/2007

To: Washington Field  
Criminal InvestigativeAttn: Public Corruption Unit  
UC [REDACTED]  
SSA [REDACTED]b6  
b7C

From: Washington Field

[REDACTED]/NVRA

Contact: SA [REDACTED]

Approved By: [REDACTED]

b6  
b7C  
b7E

Drafted By: [REDACTED]

Case ID #: 205-WF-NEW (Pending)

205-WF-236544 -1

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To request SAC authority to initiate a full-field public corruption investigation of captioned matter; and to provide FBIHQ with notification of same.

Enclosure(s): Enclosed for FBIHQ/Criminal Investigative Division is one (1) original and one (1) copy of a Letterhead Memorandum (LHM) documenting the predication for captioned investigation.

Reference: 205-WF-233891 Serial 14

Details: On 06/15/07, the above referenced EC was sent to FBIHQ detailing and requesting authorization to open captioned new investigation. On 06/19/07, SSA [REDACTED] was notified by Section Chief Nancy Nelson that FBIHQ supported the investigation of this matter.

b6  
b7C

This communication requests SAC authority to initiate a full-field public corruption investigation. Captioned matter was predicated on information provided to FBI/Washington Field Office by the [REDACTED]

b7D

SST -

Please open and  
assign to SA  
and SA [REDACTED]b6  
b7C

7/2/07

To: Washington Field From: Washington Field  
Re: 205-WF-NEW, 06/28/2007

This communication also provides FBIHQ with a LHM deemed suitable for dissemination as required by the Manual of Investigative Operations and Guidelines (MIOG) Section 58-8.2.

To: Washington Field From: Washington Field  
Re: 205-WF-NEW, 06/28/2007

LEAD(s):

Set Lead 1: (Info)

CRIMINAL INVESTIGATIVE

AT WASHINGTON, DC

Read and clear.

♦♦



U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to  
File No.

Washington, D.C.  
June 29, 2007

BAE SYSTEMS, plc;  
BAE SYSTEMS Inc.;  
AL-YAMAMAH ARMS DEAL,  
Payments to Saudi Arabian Officials  
Foreign Corrupt Practices Act of 1977

Captioned investigation has been opened to determine whether BAE SYSTEMS, plc, made corrupt payments to present and to former officials of the Kingdom of Saudi Arabia to assist in obtaining and retaining a lucrative arms contract (AL-YAMAMAH ARMS DEAL) the company had with the Kingdom of Saudi Arabia.

In 1986, the AL-YAMAMAH ARMS DEAL was entered into between the United Kingdom government and the Saudi government, and there have been several amendments to this deal since 1986. In connection with this deal, BAE SYSTEMS plc, through the use of intermediaries (both individuals and companies) and various British and Swiss bank accounts, has allegedly made corrupt payments to Saudi government officials.

The investigation is focused on payments to two individuals. The first focus is on payments made for expenses and things of value, and possibly a stipend, to [REDACTED]

[REDACTED]  
including expenses for his travel in the United States. The second focus is on payments to [REDACTED]

[REDACTED] It is believed that these payments were directed in part to Riggs Bank in Washington, D.C. at accounts in the name of the Saudi Embassy for which [REDACTED] had drawing rights.

There is reason to believe that other BAE agents may have committed acts in the furtherance of payments to [REDACTED] while in territory of the United States. It further appears that at least one BAE agent committed acts in furtherance of the payments to [REDACTED] while in the territory of the United States.

Captioned investigation was opened based in-part on information provided by [REDACTED] Possible violations include FCPA, conspiracy, wire fraud, and money laundering.

205-WF-236544-2

[REDACTED]

b6  
b7C

b6  
b7C

b7D

b6  
b7C

(Title)

(File No.) 205-WF-236544

[illegible]

1A/1C Rep 205-WF-236544

Type	#	Acquired B	Acquired O	Serial #	Serial Title	Record Type	Summary
✓ 1A	<u>1</u>		2012-06-25	<u>334</u>	(U) BAE Monitor Meet Digital and Physical	(U) Original notes re meeting with	
✓ 1A	<u>2</u>		2012-07-25	<u>337</u>	(U) Document Receipt Digital and Physical	(U) Confirmation of receipt of documents	
1A	<u>3</u>		2009-01-09	<u>347</u>	(U) To Request the Ass Physical	(U) Western Digital External Hard Drive Ser	
1A	<u>4</u>		2013-10-01	<u>348</u>	(U) Disposition of Evid Digital and Physical	(U) FD-192s, FD-1004s and FD-597	
1A	<u>5</u>		2013-10-02	<u>349</u>	(U) CART Request to D Digital	(U) CART Request and E-mail Concurrence	
1A	<u>6</u>		2013-10-04	<u>350</u>	(U) Convert 1B14 and : Digital and Physical	(U) 1B14 ad 1B15 Chain of Custody and Do	
✓ 1A	<u>7</u>		2013-10-17	<u>351</u>	(U) Disposition of Evid Digital and Physical	(U) FD-597, FD-192s and FD-1004s	
1A	<u>8</u>		2013-09-30	<u>352</u>	(U) Destruction of Evid Digital	(U) DOJ Attorney's e-mail concurrence con	
✓ 1A	<u>9</u>		2013-10-11	<u>353</u>	(U) Destruction of Evid Digital and Physical	(U) <u>Original FD-192</u> & FD-1004 forms for It	
1A	<u>10</u>		2013-10-11	<u>353</u>	(U) Destruction of Evid Digital and Physical	(U) CART notes regarding destruction of ev	
1A	<u>11</u>		2013-10-18	<u>354</u>	(U) To notify recipient: Digital	(U) LHM Concerning Case Closing	
1C	<u>1</u>	Data Migra 2008-03-15	<u>1</u>	(U// <del>FOUO</del> ) REQUEST S Physical	(U// <del>FOUO</del> ) ORIGINAL NOTES RE		
1C	<u>2</u>	Data Migra 2008-03-15	<u>1</u>	(U// <del>FOUO</del> ) REQUEST S Physical	(U// <del>FOUO</del> ) ORIGINAL NOTES RE		
1C	<u>3</u>	Data Migra 2008-03-15	<u>1</u>	(U// <del>FOUO</del> ) REQUEST S Physical	(U// <del>FOUO</del> ) ORIGINAL NOTES RE		

b6  
b7C

[redacted] and his business card.

e-mail and tracking information. ✓

rial Number [redacted]

by DOJ Trial Attorney [redacted]

documents that were Converted to a 1A

cerning the destruction of evidence

ems 1B3, 1B4, 1B5, 1B10, 1B11, 1B12, & 1B16 documenting destruction of evidence.

vidence

IK ACCOUNTS AN TRANSACTIONS LINK CHART

MPANIES LINK CHART

< ACCOUNTS AND TRANSACTIONS [redacted]

b6  
b7C  
b7E

b7E



FD-340 (Rev. 4-11-03)

File Number

205-WF-236544-1A

1A

Field Office Acquiring Evidence

WF

Serial # of Originating Document

Date Received

6/25/12

From

(Name of Contributor/Interviewee)

(Address)

England

(City and State)

By

SA

To Be Returned ☐ Yes

☒ No

Receipt Given ☐ Yes

☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

☐ Yes

☒ No

Federal Taxpayer Information (FTI)

☐ Yes

☒ No

Title:

Reference:

(Communication Enclosing Material)

Description:

☒

Original notes re-interview of

Meeting with [redacted] and his business card

b6  
b7C

b6  
b7C

6/25

[redacted] - [redacted] 24  
Head of. brooks, [redacted] but sufficient effort [redacted]

[redacted] - long work load [redacted]

[redacted] - Board new [redacted] contract  
[redacted]

b6  
b7C  
b7D

[redacted] - New head of HR  
Replaced [redacted]  
Will build up training program

[redacted] - Based in Denmark  
[redacted]

[redacted] Operations

[redacted] - Only to have [redacted] returned work  
for & [redacted]

b6  
b7C  
b7D

Met w/ [redacted] - SA  
All but one JV, BAR has the right to  
audit. [redacted] minutes for each  
JV  
5 - 6 JVs in SA

[redacted] continued due diligence on joint effort  
being supported.

b6  
b7C

Set up ethics officers throughout the company for law  
and ethics to discuss issues.

FD-340 (Rev. 4-11-03)

File Number 205-WF-236544

Field Office Acquiring Evidence WF

Serial # of Originating Document \_\_\_\_\_

Date Received 7/25/2012

From \_\_\_\_\_

(Name of Contributor/Interviewee)

(Address)

(City and State)

By SA \_\_\_\_\_

To Be Returned ☐ Yes

☒ No

Receipt Given ☐ Yes

☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)  
Federal Rules of Criminal Procedure

☐ Yes

☒ No

Federal Taxpayer Information (FTI)

☐ Yes

☒ No

Title:

Reference: \_\_\_\_\_

(Communication Enclosing Material)

Description: ☒ Original notes re interview of

Copy of ~~recept~~ Confirmation of receipt  
of documents email and tracking  
information.

1A2

b6  
b7C

FEDERAL BUREAU OF INVESTIGATION  
935 PENNSYLVANIA AVENUE, NW  
WASHINGTON, D.C. 20535-0001

PLEASE TYPE OR PRINT CLEARLY

TO:

Date: 07/19/2012



MAILED 13

JUL 23 2012

Number  
of Boxes: 1

Very Important:  
Telephone Number  
of Recipient ( )

b6  
b7C

Logistics Unit and Mail Center, JEH FBI Building, Room 1B241  
Mailing/Shipping hours: 7 a.m. to 5 p.m.

NEED-TO-KNOW RESTRICTIONS: Top Secret, Sensitive Compartmented Information strictly prohibited. To ensure the safety, security and integrity of services provided and report such violations to appropriate authorities.

Ref:  
Dep:

Date: 23Jul12  
Wgt: 6.55 LBS  
CV:  
# of Pkgs: 1

SHIPPING: 40.27  
SPECIAL: 2.82  
HANDLING: 0.00  
TOTAL: 43.09

Svc: INTL PRIORITY

b7E

## CHECK ONE BOX (Required):

☐ FedEx Standard Express (Next-business-day, 3 p.m. to 5 p.m. delivery commitment, most U.S. locations; Required service for classified and sensitive materials)

☐ FedEx 2 Day Express

☒ FedEx 3 Day Express

☐ FedEx Ground (Continental U.S. only, 1-6 day service; No official documents; primarily used for supplies)

☐ U.S. Department of State Diplomatic Pouch (For Legats)

☐ USPS Express (P.O. Boxes, APO and FPO Addresses Only)

☐ USPS Registered

☐ USPS Certified

☐ FBI Courier (Hand delivery - Washington D.C. Metro Area only)

☐ Commercial Freight Service (150 pounds or more, restricted for occasions where the above services are not suitable, over-sized, cost prohibited, unique delivery required)

☐ Other, please specify: \_\_\_\_\_

## CLASSIFICATION LEVEL (Required):

☒ Unclassified-Not Sensitive

☐ Sensitive, But Unclassified

☐ Confidential

☐ Secret

(Sensitive material is information that, if disclosed, could adversely affect the ability of the FBI to accomplish its mission. Examples of sensitive information might be the identity of undercover agents, names of individuals under investigation, or personal data on individuals.)

## SPECIAL SERVICES:

☐ Saturday Delivery

☐ Fragile Material (Describe below)

☐ Perishable Material (Describe below)

☐ Return Receipt (USPS only)

☐ Hazardous Material

Packaged by \_\_\_\_\_ Date \_\_\_\_\_

(Signature)

(A detailed description of all Hazardous Material being shipped must be provided below.)

## DESCRIPTION OF CONTENTS (Required):

Value of Contents \$ 0.00

FBI Property Number(s): N/A

FBI File #: 205-WF-236544

Documents that are being returned.

## Return To (Required):

Name SA \_\_\_\_\_

Cost Code: 3920

Room CR-22 WFO

Ext. \_\_\_\_\_

Accountable/Tracking # \_\_\_\_\_

(For Logistics Use Only)

b6  
b7C  
b7E

[redacted]  

---

**From:** [redacted]  
**Sent:** Wednesday, July 25, 2012 3:47 PM  
**To:** [redacted]  
**Subject:** Re: [redacted]

b6  
b7C  
b7E

[redacted]  
Thank you for the receipt confirmation. I'm glad that the documents arrived without delay. Have a good night and enjoy the Olympics.

Regards,

[redacted]

---

**From:** [redacted]  
**To:** [redacted]  
**Sent:** Wed Jul 25 15:39:07 2012  
**Subject:** Re: [redacted]

Hi [redacted]

Many thanks the delivery arrived today, The Palace brochure together with the other documents, several of which are of much interest, glad to have them back.

Best regards

b6  
b7C  
b7E

[redacted]  
On 18 July 2012 22:07, [redacted] wrote:

[redacted]

I hope that you are prepared for the Olympic insanity that is soon to fall upon London, if it hasn't already. I'm sure you will be as far from it as possible.

I have the brochure as well as a few other documents that were with it that I will be happy to forward to you. Can you please provide me with your current mailing address?

Regards,

[redacted]

b6  
b7C

**From:** [redacted]  
**Sent:** Tuesday, July 17, 2012 3:21 PM  
**To:** [redacted]  
**Subject:** [redacted]

b6  
b7C  
b7E

Hi [redacted]

I hope that you are well and that wherever you are its not too hot, its cold, wet and windy here.

Sorry to bother you but some situations never seem to go away. You may recall that

[redacted]  
essentially a very [redacted] for social use in the desert. I mentioned sometime ago that the specially produced brochure I gave to yourselves was not returned with the other material and there are no other copies. A situation has arisen where the brochure would be of great use. Is it possible that your archivist could locate it and that it could be returned to me please. I seem to recall that the brochure was landscape shaped with 20/30 glossy pages.

b6  
b7C

Best regards

[redacted]

b6  
b7C

1A7

FD-340 (Rev. 4-11-03)

File Number 205-WF-236544

Field Office Acquiring Evidence \_\_\_\_\_

Serial # of Originating Document \_\_\_\_\_

Date Received 10/22/13

From WFO/NVRA Evidence Control  
(Name of Contributor/Interviewee)

(Address)

(City and State)

By ECT

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)  
Federal Rules of Criminal Procedure

☐ Yes ☒ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

Title:

Reference:

(Communication Enclosing Material)

Description: ☐ Original notes re interview of

FD-192, FD1004

1B13

b6  
b7C

11/05/09  
14:47:55

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC  
BAE SYSTEMS

Date Property Acquired: Source from which Property Acquired:

10/29/2009

Anticipated Disposition: Acquired By: Case Agent:

b3  
b6  
b7C  
b7D

Description of Property:

Date Entered

1B 13

CONCERNING FEDERAL GRAND JURY SOBPOENA

Barcode: E4739129

Location: MRA4

CAB 7

DR 3

11/05/2009

Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

EVIDENCE COPY



# FEDERAL BUREAU OF INVESTIGATION EVIDENCE CHAIN-OF-CUSTODY

Evidence Type: ☒ General ☐ Drug ☐ Firearm/Weapon  
☐ CART ☐ Valuable ☐ Firearm/Other

Special Handling Instructions	Initial Receipt	Date and Time
<input type="checkbox"/> Batteries <input type="checkbox"/> Biohazard <input checked="" type="checkbox"/> FGJ <input type="checkbox"/> HAZMAT <input type="checkbox"/> Latents <input type="checkbox"/> Refrigerate <input type="checkbox"/> Req. Charging <input type="checkbox"/> None <input type="checkbox"/> Other _____	Signature: _____ Printed Name: _____ Reason: <b>Collected</b>	<b>10/29/09</b> <b>9:45 AM</b>

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____ Printed Name: _____ Reason: <b>To ECC</b>	<b>11/05/09</b> <b>2:25 PM</b>	Signature: _____ Printed Name: _____ Reason: <b>Storage</b>	<b>11/15/09</b> <b>02:25 PM</b>

b6  
b7C

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____ Printed Name: _____ Reason: <b>Destroyed</b>	<b>1150am</b> <b>10-22-13</b>	Signature: _____ Printed Name: _____ Reason: _____	

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____ Printed Name: _____ Reason: _____		Signature: _____ Printed Name: _____ Reason: _____	

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____ Printed Name: _____ Reason: _____		Signature: _____ Printed Name: _____ Reason: _____	

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____ Printed Name: _____ Reason: _____		Signature: _____ Printed Name: _____ Reason: _____	

**Firearms Certification:**

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Case ID: **205-WF-236544** 1B: **13** Barcode: **E4739129**

FD-340 (Rev. 4-11-03)

File Number 205-WF-236544-1A9

Field Office Acquiring Evidence WF

Serial # of Originating Document \_\_\_\_\_

Date Received 10/11/2013

From SA [redacted]

(Name of Contributor/Interviewee)

(Address)

(City and State)

By \_\_\_\_\_

To Be Returned ☐ Yes

☒ No

Receipt Given ☐ Yes

☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)  
Federal Rules of Criminal Procedure

☐ Yes

☒ No

Federal Taxpayer Information (FTI)

☐ Yes

☒ No

Title: BAE SYSTEMS, PLC, ETAL

Reference: \_\_\_\_\_

(Communication Enclosing Material)

Description: ☐ Original notes re interview of

FD-197 & FD-1004 FOR ITEMS 1B3, 1B4, 1B5,  
1B10, 1B11, 1B12, AND 1B16 (16 Pgs).

b6  
b7C

12/10/07  
09:31:45

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC  
-

Date Property Acquired: Source from which Property Acquired:

11/26/2007

Anticipated Disposition: Acquired By: Case Agent:

b3  
b6  
b7C

Description of Property:

Date Entered

1B 3

Barcode: E04088624 Location: MRA4 ~~Box 2 shelf C~~  
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

12/10/2007

C CAB 17 DR 2



E04088624

Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

EVIDENCE COPY

FEDERAL BUREAU OF INVESTIGATION  
**EVIDENCE CHAIN-OF-CUSTODY**

Evidence Type: <input checked="" type="checkbox"/> General <input type="checkbox"/> Drug <input type="checkbox"/> Valuable <input type="checkbox"/> Firearms <input type="checkbox"/> CART			
Special Handling Instructions		Initial Receipt	
<input type="checkbox"/> Batteries <input type="checkbox"/> Biohazard <input checked="" type="checkbox"/> FGJ <input type="checkbox"/> HAZMAT <input type="checkbox"/> Latents <input type="checkbox"/> Refrigerate <input type="checkbox"/> Req. Charging <input type="checkbox"/> None <input type="checkbox"/> Other _____		Signature: _____ Printed Name: SA _____ Reason: Collected	Date and Time 11/26/07 10:00 am
Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____	11/30/07 12:00pm	Signature: _____	12/3/07 4:00pm
Printed Name: SA _____		Printed Name: _____	
Reason: released to _____		Reason: received from _____	
Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____	12/26/07 11:00am	Signature: _____	12/26/07 11:00am
Printed Name: _____		Printed Name: _____	
Reason: TO ECC		Reason: Storage	
Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____	10-4-13 2:10pm	Signature: _____	10/4/13 2:10 PM
Printed Name: _____		Printed Name: _____	
Reason: From Storage		Reason: To Destroy	
Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____	10/8/13 11:48 AM	Signature: _____	10/8/13 11:50 AM
Printed Name: _____		Printed Name: _____	
Reason: To Destroy		Reason: Destroyed	
Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____		Signature: _____	
Printed Name: _____		Printed Name: _____	
Reason: _____		Reason: _____	

**Firearms Certification:**

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
 Case ID: 205-WF-236544 IB: 3 Barcode: E04088624

b6  
b7C

b6  
b7C

b6  
b7C

12/10/07  
09:32:52

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC  
-

Date Property Acquired: Source from which Property Acquired:

11/27/2007

Anticipated Disposition: Acquired By: Case Agent:

b3  
b6  
b7c

Description of Property:

Date Entered

1B 4

FGJ SUBPOENA

Barcode: E04088625 Location: ~~MRA4~~ Bin 2 shelf 2  
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

12/10/2007

MRA4 Bin 1 shelf A





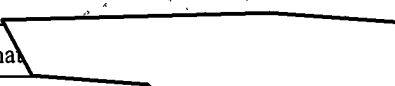






E04088625

Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

EVIDENCE COPY

FEDERAL BUREAU OF INVESTIGATION  
EVIDENCE CHAIN-OF-CUSTODY

Evidence Type: <input checked="" type="checkbox"/> General <input type="checkbox"/> Drug <input type="checkbox"/> Valuable <input type="checkbox"/> Firearms <input type="checkbox"/> CART			
Special Handling Instructions		Initial Receipt	
<input type="checkbox"/> Batteries <input type="checkbox"/> Biohazard <input checked="" type="checkbox"/> FGJ <input type="checkbox"/> HAZMAT <input type="checkbox"/> Latents <input type="checkbox"/> Refrigerate <input type="checkbox"/> Req. Charging <input type="checkbox"/> None <input type="checkbox"/> Other _____		Signature:  Printed Name: SA Reason: Collected	Date and Time 11/27/07 4:15pm
Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: 	11/30/07 12:00pm	Signature: 	12/3/07 4:00pm
Printed Name: SA		Printed Name:	
Reason: released to		Reason: received from	
Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: 	12/26/07 11am	Signature: 	12/26/07 11:00AM
Printed Name:		Printed Name:	
Reason: TOEC		Reason: Storage	
Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: 	10-4-13 2:10pm	Signature: 	10/4/13 2:10PM
Printed Name:		Printed Name:	
Reason: From Storage		Reason: To Destroy	
Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: 	10/8/13 12:04 PM	Signature: 	10/8/13 12:05 PM
Printed Name:		Printed Name:	
Reason: To Destroy		Reason: Destroyed	
Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____		Signature: _____	
Printed Name: _____		Printed Name: _____	
Reason: _____		Reason: _____	

Firearms Certification:

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
 Case ID: 205-WF-236544 1B: 4 Barcode: E04088625

b6  
b7C

b6  
b7C

b6  
b7C

12/10/07  
09:34:38

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC  
-

Date Property Acquired: Source from which Property Acquired:

11/27/2007

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

1B 5

Date Entered

b3  
b6  
b7c

FGJ SUBPOENA

Barcode: E04088626 Location: ~~MPA4~~ ~~Bun 2 shelf~~  
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

12/10/2007

C CAB 17 DE 2



Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

EVIDENCE COPY

FEDERAL BUREAU OF INVESTIGATION  
EVIDENCE CHAIN-OF-CUSTODY

Evidence Type: <input checked="" type="checkbox"/> General <input type="checkbox"/> Drug <input type="checkbox"/> Valuable <input type="checkbox"/> Firearms <input type="checkbox"/> CART			
Special Handling Instructions		Initial Receipt	
<input type="checkbox"/> Batteries <input type="checkbox"/> Biohazard <input checked="" type="checkbox"/> FGJ <input type="checkbox"/> HAZMAT <input type="checkbox"/> Latents <input type="checkbox"/> Refrigerate <input type="checkbox"/> Req. Charging <input type="checkbox"/> None <input type="checkbox"/> Other _____		Signature: _____ Printed Name: SA _____ Reason: Collected	
Date and Time		Date and Time	
11/27/07		4:15pm	
Relinquished Custody		Accepted Custody	
Signature: _____		Signature: _____	
Printed Name: SA _____		Printed Name: _____	
Reason: released to _____		Reason: received from _____	
Date and Time		Date and Time	
11/30/07		12/3/07	
12:00pm		4pm	
Relinquished Custody		Accepted Custody	
Signature: _____		Signature: _____	
Printed Name: _____		Printed Name: _____	
Reason: TO ECC		Reason: Storage	
Date and Time		Date and Time	
12/26/07		12/26/07	
11:00am		11:00AM	
Relinquished Custody		Accepted Custody	
Signature: _____		Signature: _____	
Printed Name: _____		Printed Name: _____	
Reason: From Storage		Reason: To Destroy	
Date and Time		Date and Time	
10-4-13		10/4/13	
2:10pm		2:10 pm	
Relinquished Custody		Accepted Custody	
Signature: _____		Signature: _____	
Printed Name: _____		Printed Name: _____	
Reason: To Destroy		Reason: Destroyed	
Date and Time		Date and Time	
10/8/13		10/8/13	
12:12 PM		12:13 PM	
Relinquished Custody		Accepted Custody	
Signature: _____		Signature: _____	
Printed Name: _____		Printed Name: _____	
Reason: _____		Reason: _____	
Date and Time		Date and Time	
_____		_____	
_____		_____	
Reason: _____		Reason: _____	

b6  
b7Cb6  
b7Cb6  
b7C

## Firearms Certification:

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Case ID: 205-WF-236544 1B: 5 Barcode: E04088626



09/08/09  
09:53:59

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC  
BAE SYSTEMS

Date Property Acquired: Source from which Property Acquired:

09/03/2009

Anticipated Disposition: Acquired By: Case Agent:

b6  
b7C  
b7E

Description of Property:

Date Entered

1B 12

Barcode: E4330381

Location: MRA1

CAB 16

DR 2

09/08/2009



E 4330381

Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

EVIDENCE COPY

FEDERAL BUREAU OF INVESTIGATION  
**EVIDENCE CHAIN-OF-CUSTODY**

<b>Evidence Type:</b> <input type="checkbox"/> General <input type="checkbox"/> Drug <input type="checkbox"/> Valuable <input type="checkbox"/> Firearms <input checked="" type="checkbox"/> <b>CART</b>			
<b>Special Handling Instructions</b>  <input type="checkbox"/> Batteries <input type="checkbox"/> Biohazard <input type="checkbox"/> FGJ <input type="checkbox"/> HAZMAT <input type="checkbox"/> Latents <input type="checkbox"/> Refrigerate <input type="checkbox"/> Req. Charging <input type="checkbox"/> None <input type="checkbox"/> Other _____		<b>Initial Receipt</b> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> Printed Name: _____ Reason: <b>Collected</b>	
<b>Relinquished Custody</b> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> Signature: _____ Printed Name: _____ Reason: <b>TO ECC</b>		<b>Date and Time</b> 9/03/09 12:45 PM	<b>Accepted Custody</b> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> Signature: _____ Printed Name: _____ Reason: <b>Storage</b>
<b>Relinquished Custody</b> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> Signature: _____ Printed Name: _____ Reason: <b>From Storage</b>		<b>Date and Time</b> 11/5/09 02:25 PM	<b>Accepted Custody</b> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> Signature: _____ Printed Name: _____ Reason: <b>Review</b>
<b>Relinquished Custody</b> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> Signature: _____ Printed Name: _____ Reason: <b>TO ECC</b>		<b>Date and Time</b> 2/16/10 2:54 PM	<b>Accepted Custody</b> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> Signature: _____ Printed Name: _____ Reason: <b>Storage</b>
<b>Relinquished Custody</b> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> Signature: _____ Printed Name: _____ Reason: <b>From Storage</b>		<b>Date and Time</b> 10-4-13 2:10 PM	<b>Accepted Custody</b> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> Signature: _____ Printed Name: _____ Reason: <b>To Destroy</b>
<b>Relinquished Custody</b> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> Signature: _____ Printed Name: _____ Reason: <b>To Destroy</b>		<b>Date and Time</b> 10/8/13 12:20 PM	<b>Accepted Custody</b> <div style="border: 1px solid black; height: 40px; width: 100%;"></div> Signature: _____ Printed Name: _____ Reason: <b>Destroyed</b>

b6  
b7C

b6  
b7C

b6  
b7C

**Firearms Certification:**

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Case ID: 205-WF-236544 1B: 12 Barcode: E4330381

02/17/09  
15:45:26

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC  
BAE SYSTEMS

Date Property Acquired: Source from which Property Acquired:

02/10/2009

b6  
b7C  
b7E

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

Date Entered

1B 11

Barcode: E4329039

Location: MRA1

CAB 23

DR 1

02/17/2009



E4329039

Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

EVIDENCE COPY

FEDERAL BUREAU OF INVESTIGATION  
EVIDENCE CHAIN-OF-CUSTODY

Evidence Type: <input type="checkbox"/> General <input type="checkbox"/> Drug <input type="checkbox"/> Valuable <input type="checkbox"/> Firearms <input checked="" type="checkbox"/> CART			
Special Handling Instructions		Initial Receipt	
<input type="checkbox"/> Batteries <input type="checkbox"/> Biohazard <input type="checkbox"/> FGJ <input type="checkbox"/> HAZMAT <input type="checkbox"/> Latents <input type="checkbox"/> Refrigerate <input type="checkbox"/> Req. Charging <input type="checkbox"/> None <input type="checkbox"/> Other _____		Signature: [Redacted] Printed Name: [Redacted] Reason: <u>Collected</u>	
Date and Time		Date and Time	
[Redacted]		02/10/09 5:00pm	
Relinquished Custody		Accepted Custody	
Signature: [Redacted]		Signature: [Redacted]	
Printed Name: [Redacted]		Printed Name: [Redacted]	
Reason: <u>To ECC</u>		Reason: <u>Storage</u>	
Date and Time		Date and Time	
02/17/09 3:33pm		2/17/09 03 34P	
Relinquished Custody		Accepted Custody	
Signature: [Redacted]		Signature: [Redacted]	
Printed Name: [Redacted]		Printed Name: [Redacted]	
Reason: <u>From Storage</u>		Reason: <u>CART Exam</u>	
Date and Time		Date and Time	
02/17/09 0345P		2/17/09 3:46pm	
Relinquished Custody		Accepted Custody	
Signature: [Redacted]		Signature: [Redacted]	
Printed Name: [Redacted]		Printed Name: [Redacted]	
Reason: <u>To ECC</u>		Reason: <u>Storage</u>	
Date and Time		Date and Time	
01/20/11 3:47pm		1/20/11 3:47pm	
Relinquished Custody		Accepted Custody	
Signature: [Redacted]		Signature: [Redacted]	
Printed Name: [Redacted]		Printed Name: [Redacted]	
Reason: <u>From Storage</u>		Reason: <u>To Destroy</u>	
Date and Time		Date and Time	
10-4-13 2:10pm		10/4/13 2:10 PM	
Relinquished Custody		Accepted Custody	
Signature: [Redacted]		Signature: [Redacted]	
Printed Name: [Redacted]		Printed Name: [Redacted]	
Reason: <u>To Destroy</u>		Reason: <u>Destroyed</u>	
Date and Time		Date and Time	
10/8/13 1:17 PM		10/8/13 1:43 PM	

Firearms Certification:

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
 Case ID: 205-WF-236544 1B: 11 Barcode: E4329039

b6  
b7C

b6  
b7C

b6  
b7C

02/11/09  
16:17:09

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC  
BAE SYSTEMS

Date Property Acquired: Source from which Property Acquired:

02/11/2009

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

1B 10

Date Entered

b6  
b7C  
b7E

Barcode: E4320999

Location: MRA1

CAB 22

DR 4

02/11/2009

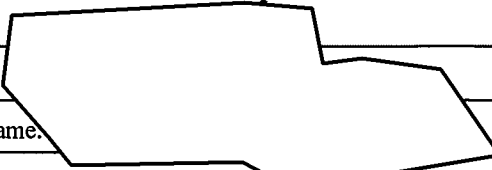
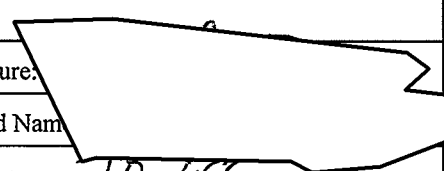
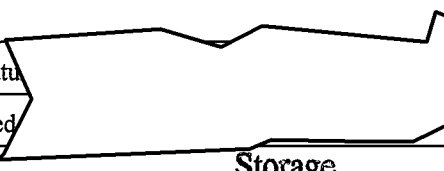



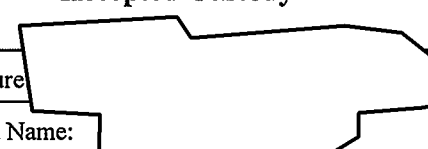


E4320999

Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

EVIDENCE COPY

FEDERAL BUREAU OF INVESTIGATION  
EVIDENCE CHAIN-OF-CUSTODY

Evidence Type: <input type="checkbox"/> General <input type="checkbox"/> Drug <input type="checkbox"/> Valuable <input type="checkbox"/> Firearms <input checked="" type="checkbox"/> CART			
Special Handling Instructions		Initial Receipt	
<input type="checkbox"/> Batteries <input type="checkbox"/> Biohazard <input type="checkbox"/> FGJ <input type="checkbox"/> HAZMAT <input type="checkbox"/> Latents <input type="checkbox"/> Refrigerate <input type="checkbox"/> Req. Charging <input type="checkbox"/> None <input type="checkbox"/> Other _____		Signature: 	Date and Time: 02/11/09
		Printed Name: _____	830 AM
		Reason: Collected	
Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: 	02/11/09	Signature: 	2/11/09
Printed Name: _____	10:49 AM	Printed Name: _____	10:50 AM
Reason: TO ECC		Reason: Storage	
Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: 	10-4-13	Signature: 	10-4-13
Printed Name: _____	2:10 PM	Printed Name: _____	2:10 PM
Reason: FROM Storage		Reason: To Destroy	
Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: 	10/8/13	Signature: 	10/8/13
Printed Name: _____	4:56 PM	Printed Name: _____	5:05 PM
Reason: To Destroy		Reason: Destroyed	
Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____		Signature: _____	
Printed Name: _____		Printed Name: _____	
Reason: _____		Reason: _____	
Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____		Signature: _____	
Printed Name: _____		Printed Name: _____	
Reason: _____		Reason: _____	

Firearms Certification:

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
 Case ID: 105-WF-236544 1B: 10 Barcode: E4320999

b6  
b7C

b6  
b7C

02/16/10  
15:16:54

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC  
BAE SYSTEMS

Date Property Acquired: Source from which Property Acquired:

02/05/2010



b6  
b7C  
b7E

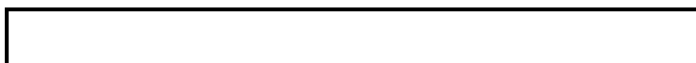
Anticipated Disposition: Acquired By: Case Agent:



Description of Property:

1B 16

Date Entered



Barcode: E4739351

Location: MRA1

CAB 34

DR 3

02/16/2010



E4739351

Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

EVIDENCE COPY

FEDERAL BUREAU OF INVESTIGATION  
**EVIDENCE CHAIN-OF-CUSTODY**

Evidence Type: ☐ General ☐ Drug ☐ Firearm/Weapon  
☒ CART ☐ Valuable ☐ Firearm/Other

Special Handling Instructions	Initial Receipt	Date and Time
<input type="checkbox"/> Batteries <input type="checkbox"/> Biohazard <input type="checkbox"/> FGJ <input type="checkbox"/> HAZMAT <input type="checkbox"/> Latents <input type="checkbox"/> Refrigerate <input type="checkbox"/> Req. Charging <input type="checkbox"/> None <input type="checkbox"/> Other _____	Signature: _____ Printed Name: _____ Reason: <b>Collected</b>	02/05/2010 9:30 AM

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____	2/16/10	Signature: _____	2/16/10
Printed Name: _____	2:54 PM	Printed Name: _____	0254P
Reason: <b>To ECC</b>		Reason: <b>Storage</b>	

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____	3/16/10	Signature: _____	03-16-10
Printed Name: _____	0145P	Printed Name: _____	4:5 PM
Reason: <b>From Storage</b>		Reason: <b>CANT EXAM</b>	

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____	04-01-10	Signature: _____	4/1/10
Printed Name: _____	10:40 AM	Printed Name: _____	10:40AM
Reason: <b>To ECC</b>		Reason: <b>Storage</b>	

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____	10-4-13	Signature: _____	10/4/13
Printed Name: _____	2:10 PM	Printed Name: _____	2:10 PM
Reason: <b>From Storage</b>		Reason: <b>To Destroy</b>	

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____	10/9/13	Signature: _____	10/9/13
Printed Name: _____	12:31 PM	Printed Name: _____	2:15 PM
Reason: <b>To Destroy</b>		Reason: <b>Destroyed</b>	

**Firearms Certification:**

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Case ID: **205-WF-236544** 1B: **16** Barcode: **E4789351**





UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
Receipt for Property Received/Returned/Released/Seized

File # 205-WF-236544On (date) February 5, 2010 9:30 AM

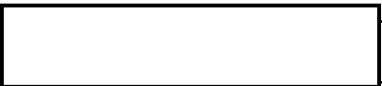
item(s) listed below were:

- ☒ Received From  
☐ Returned To  
☐ Released To  
☐ Seized

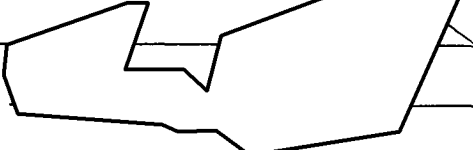
(Name) (Street Address) (City)  VADescription of Item(s): 

b6  
b7C  
b7E

*NOTHING  
FOLLOWS*

Received By 

(Signature)

Received From: 

b6  
b7C

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 02/16/2010

To: Washington Field

From: Washington Field

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 - 275 (Pending)

321A-WF-A226437-C2 - 674

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: EC regarding submission of evidence beyond the ten (10) day limit.

Details: [redacted] received from  
ICE SA [redacted] on 02/05/2010. This evidence was  
submitted to the Evidence Control Technicians (ECT's) past the  
ten (10) day limit because the Government offices were closed  
during the week of 02/08 due to snow and closed on 02/15 for  
President's Day.

♦♦

1B16

b6  
b7C  
b7E

b6  
b7C  
b7E

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 06/28/2007

To: Washington Field

From: Washington Field

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) - **3**  
205-WF-236544-GJ -1  
205-WF-236544-302 -1  
205-WF-236544-MED -1  
205-WF-236544-LEGAT -1

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To request the opening of subfiles GJ, 302, MED,  
and LEGAT in captioned investigation.

Details: It is requested that the following subfiles be opened  
in captioned investigation as follows:

GJ	To hold Grand Jury subpoenas and documents
302	To hold all FD-302s
MED	To hold all media related to the case
LEGAT	To hold all information provided by Legats

♦♦

[redacted] 7-6-07

*55T -  
Open Sub Files*

[redacted] 7/6/07

[redacted]

[redacted]

b6  
b7C  
b7E

b6  
b7C

Automated Serial Permanent Charge-Out  
FD-5a (1-5-94)

Date: 07/19/07 Time: 09:46

Case ID: 205-WF-236544 Serial: 4

Description of Document:

Type : EC

Date : 07/18/07

To : WASHINGTON FIELD

From : WASHINGTON FIELD

Topic: REQUEST IA [REDACTED] BE ASSIGNED TO ASSIST IN

b6  
b7c

Reason for Permanent Charge-Out:

correction needed on ec.

Employee: [REDACTED]

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/18/2007

To: Washington Field

Attn: SSA [REDACTED]

From: Washington Field

[REDACTED]/NVRA

Contact: SA [REDACTED]

Approved By: [REDACTED]

Drafted By: [REDACTED]

Case ID #: 205-WF-236544 (Pending) -5

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc.;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FCPA

Synopsis: Request IA [REDACTED] be assigned to assist in captioned investigation.

Details: Captioned investigation is a highly sensitive public corruption investigation involving the payment of bribes from [REDACTED] to [REDACTED] through the Al-Yamamah Arms Deal.

It is requested that IA [REDACTED] assist the two case agents in the location of several United States witnesses, and conduct research on numerous properties and businesses located in the United States. It is also requested that he assist with the compilation of subject, witnesses, and company comprehensive spreadsheets which will eventually be used for a chart to show money laundering. IA [REDACTED] assistance is needed for the duration of captioned investigation.

b6  
b7C  
b7E

b6  
b7C

b6  
b7C

b6  
b7C

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 07/18/2007

LEAD(s) :

Set Lead 1: (Action)

WASHINGTON FIELD

AT NVRA

It is requested that IA  be assigned to assist with captioned investigation for the duration of the investigation.

b6  
b7c

♦♦

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE

**Date:** 07/19/2007

**To:** London

**Attn:** [REDACTED]

Criminal Investigative

**Attn:** Public Corruption Unit  
US [REDACTED]  
SSA [REDACTED]

b6  
b7C

**From:** Washington Field

NVRA/ [REDACTED]

**Contact:** SA [REDACTED]  
SA [REDACTED]

**Approved By:** [REDACTED]

**Drafted By:** [REDACTED]

b6  
b7C  
b7E

**Case ID #:** 205-WF-236544 (Pending) -8

**Title:** BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

**Synopsis:** Request assistance from Legat London in captioned investigation.

**Enclosure(s):** Enclosed for London is a copy of the opening LHM for captioned investigation.

**Details:** Captioned case is a sensitive FCPA investigation into BAE paying bribes to Saudi Arabian Officials through the Al-Yamamah Arms Deal.

As previously discussed between Writer and [REDACTED]

[REDACTED] DOJ Fraud Section [REDACTED]

b3  
b6  
b7C  
b7D

b6  
b7C

To: London From: Washington Field  
Re: 205-WF-236544, 07/19/2007



b3  
b6  
b7C  
b7D



b6  
b7C  
b7D



b6  
b7C  
b7D



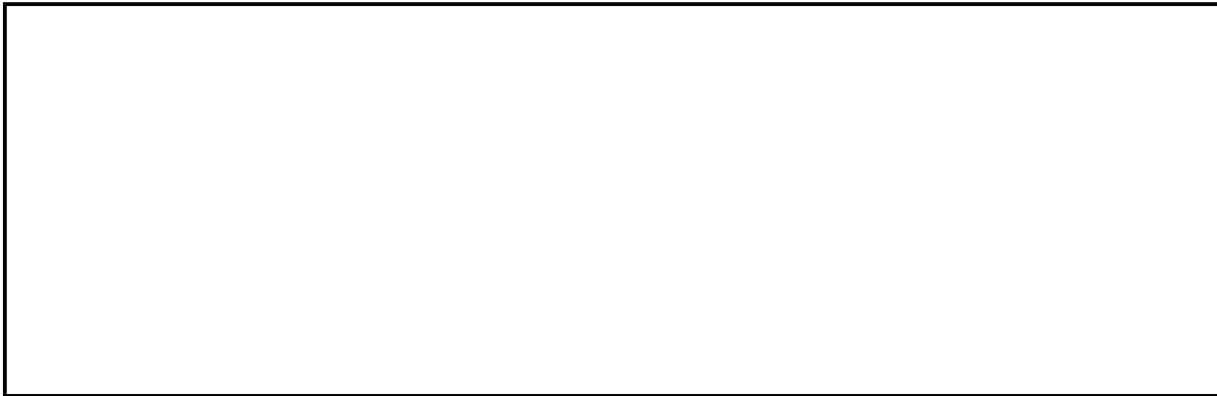
To: London From: Washington Field  
Re: 205-WF-236544, 07/19/2007

LEAD(s) :

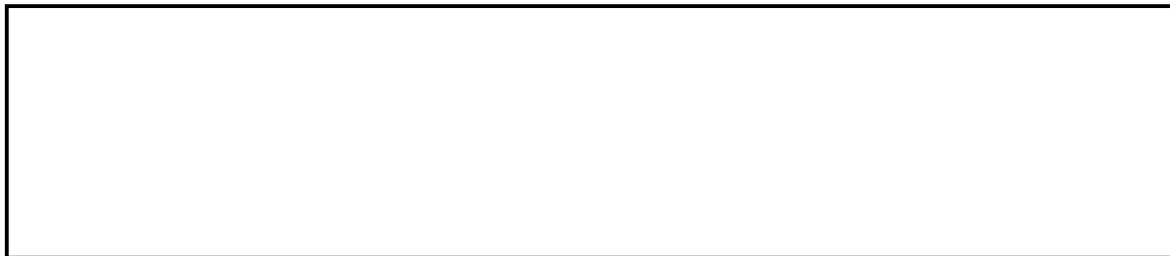
Set Lead 1: (Action)

LONDON

AT LONDON



b3  
b6  
b7C  
b7D  
b7E



b3  
b6  
b7C  
b7D  
b7E

♦♦

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/14/2007

To: Washington Field

Attn: SAS  
FS

CID  
London

Attn: SSA  
Attn: ALAT

b3  
b6  
b7C  
b7E

From: Washington Field

NVRA

Contact: SA

Approved By:

Drafted By:

b6  
b7C  
b7E

Case ID #: 205-WF-236544 (Pending)-9

Title: RESTRICTED ACCESS IN ACS  
FOR FOREIGN CORRUPT PRACTICES ACT OF 1977 CASE

Synopsis: To request restricted access FBI case file number: 205-WF-236544

Details: On 06/19/07, SSA [redacted] was notified by Section Chief Nancy Nelson that FBIHQ supported the opening of an investigation into certain payments that were made by BAE Systems, plc to Saudi Officials under the auspices of the Al Yamamah defense contract. [redacted] subsequently initiated a Foreign Corrupt Practices Act (FCPA) case pertaining to a BAE Systems, plc and BAE Systems, Incorporated. Due to the sensitive nature of this investigation, it is necessary to restrict access to the information pertaining to this matter in ACS to avoid compromise of the investigation and to ensure the safety of sources.

b6  
b7C  
b7E

The following WFO, London and FBIHQ personnel will be granted access to this case:

ADIC	[redacted]	WFO
SAC	[redacted]	WFO, CID
ASAC	[redacted]	WFO, Branch II, WCC
SSA	[redacted]	, WFO, [redacted]
SSA	[redacted]	CID, FBIHQ
SSA	[redacted]	CID, FBIHQ
CDC	[redacted]	, WFO
ADC	[redacted]	WFO
SA	[redacted]	WFO, [redacted]
SA	[redacted]	WFO, [redacted]
SA	[redacted]	WFO, [redacted]
SA	[redacted]	WFO, [redacted]
SST	[redacted]	WFO, [redacted]
IA	[redacted]	WFO, [redacted]

b6  
b7C  
b7E

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 08/14/2007

IA [redacted] FBIHQ  
IA [redacted] FBIHQ  
ALAT [redacted] Legat London  
LEGAT [redacted] Legat London  
SST [redacted] Legat London

b3  
b6  
b7C  
b7E

Other agents and personnel may be added on a case by case basis as warranted.

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 08/14/2007

LEAD(s):

Set Lead 1: (Action)

CRIMINAL INVESTIGATIVE

AT WASHINGTON, DC

Read and clear.

Set Lead 2: (Action)

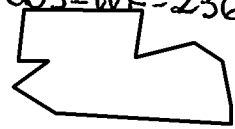
LONDON

AT LONDON, ENGLAND

Read and clear.

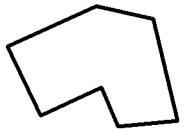
♦♦

805-WF-236544



-13

b6  
b7C



TO: DD John S. Pistole

FROM: AD Kenneth W. Kaiser

SUBJECT: BAE - Foreign Corrupt Practices Act

b7D

## Investigative Importance

The DOJ probe will focus on whether the company, by using US banks, violated the Foreign Corrupt Practices Act and US money laundering statutes. The laws prohibit bribery in soliciting contracts and bar companies involved in such practices from the US market. This is necessary to level the playing field for all contractors, including US contractors [REDACTED]

b7E

DOJ advises this will be the largest FCPA case to date involving billions in bribes. The largest one up to now is the Baker Hughes case which involved \$2.4 million in bribes. They were fined \$26 million.

b3  
b6  
b7C  
b7C  
b7E

## Proposed Investigation

b3  
b6  
b7C  
b7D  
b7E

**DOJ Prosecution**

[Redacted]

b3  
b6  
b7C  
b7D  
b7E

[Redacted]

[Redacted]

**Time Sensitivity**

[Redacted]

b3



# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/24/2007

To: Washington Field

From: Washington Field

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) -14

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To document meeting with [redacted] at DOJ on  
[redacted] regarding captioned investigation.

Details: On [redacted] a meeting with [redacted] occurred at  
DOJ. The following individuals were present during the meeting:

[redacted]  
[redacted]  
[redacted]  
[redacted]

b3  
b6  
b7C

[redacted]  
[redacted]

b3  
b6  
b7C

[redacted]

b3  
b6  
b7C

[redacted]

[redacted]

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 07/24/2007

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

b3  
b6  
b7C  
b7D  
b7E

[REDACTED]

[REDACTED]

b3  
b6  
b7C

After the meeting, FBI SAs and DOJ prosecutors met to discuss [REDACTED]

b3  
b6  
b7C

[REDACTED]

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 07/24/2007

[redacted]  
[redacted] They also need to reach out to Legat London regarding  
[redacted] DOJ also advised they would like [redacted]  
[redacted]  
[redacted] to further  
discuss an investigative/prosecutive plan.

b3  
b6  
b7C  
b7D  
b7E

♦♦

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/24/2007

To: Washington Field

From: Washington Field

[redacted]/NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) - 15

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To document meeting with DOJ on [redacted] regarding  
investigative/prosecutive plan for captioned investigation.

Details: On [redacted]  
met with DOJ Attorney [redacted] at DOJ to [redacted]  
[redacted] for captioned investigation. The  
following items were discussed:

[redacted]

[redacted]

b6  
b7C  
b7E

b3  
b5  
b6  
b7C  
b7E

b3  
b5  
b6  
b7C  
b7E

b3  
b5  
b6  
b7C  
b7D  
b7E

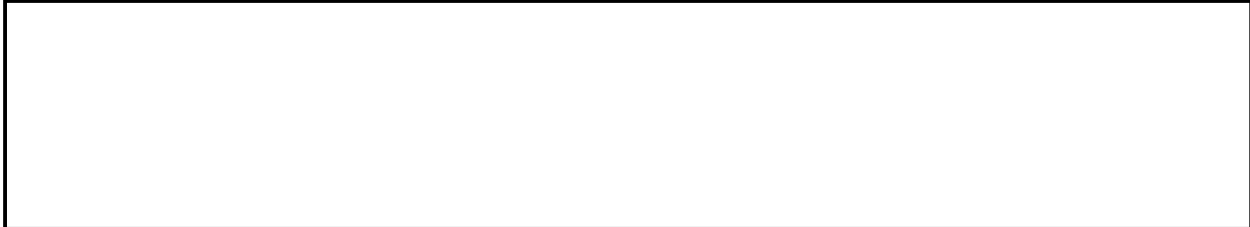
b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 07/24/2007



b3  
b5  
b6  
b7C

Discussion then focused on



b3  
b5  
b6  
b7C

♦♦

[redacted] (WF) (FBI)

From: [redacted] (WF) (FBI)  
Sent: Monday, August 06, 2007 11:54 AM  
To: [redacted] (WF) (FBI)  
Cc: [redacted] (WF) (FBI)  
Subject: Checks to see if individuals are/were open WFO Sources

b6  
b7C

SENSITIVE BUT UNCLASSIFIED  
NON-RECORD

Hi [redacted]  
Can you please check to see if these two individuals currently are/have ever been WFO Sources?  
Thanks,  
[redacted]



b6  
b7C  
b7E

SA [redacted]  
WFO, NVRA [redacted]  
Desk [redacted]  
Cell [redacted]  
Blackberry [redacted]

SENSITIVE BUT UNCLASSIFIED

[redacted]  
205-WF-238544-1/2  
[redacted]

b6  
b7C

[redacted] (WF) (FBI)

From: [redacted] (DI) (FBI)  
Sent: Monday, August 06, 2007 2:30 PM  
To: [redacted] (WF) (FBI)  
Cc: [redacted] (WF) (FBI)  
Subject: RE: [redacted]

b6  
b7C  
b7E

SENSITIVE BUT UNCLASSIFIED  
NON-RECORD

[redacted]  
The results are negative for the individuals listed below.

b6  
b7C  
b7E

-----Original Message-----

From: [redacted] (WF) (FBI)  
Sent: Monday, August 06, 2007 1:54 PM  
To: [redacted] (DI) (FBI)  
Cc: [redacted] (WF) (FBI)  
Subject: [redacted]

SENSITIVE BUT UNCLASSIFIED  
NON-RECORD

[redacted]  
[redacted] at WFO told me that you are the person at HQ to contact to obtain a [redacted] on individuals to determine if they currently are or have ever been a Source. They are both negative at WFO. Can you please run these two individuals?  
Thanks so much,  
[redacted]

b6  
b7C  
b7E

SA [redacted]  
WFO, NVRA [redacted]  
Desk [redacted]  
Cell [redacted]  
Blackberry [redacted]

SENSITIVE BUT UNCLASSIFIED

SENSITIVE BUT UNCLASSIFIED

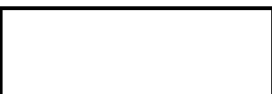
[redacted] (WF) (FBI)

From: [redacted] (WF) (FBI)  
Sent: Tuesday, August 14, 2007 10:36 AM  
To: [redacted] (WF) (FBI)  
Subject: RE: Check to see if an individual currently is/has ever been a WFO Source

b6  
b7C

SENSITIVE BUT UNCLASSIFIED  
NON-RECORD

Since the file is closed, you ARE allowed to view it. It's a really old file number so chances are the person that operated him is no longer here.



Washington Field

b6  
b7C  
b7E

-----Original Message-----

From: [redacted] (WF) (FBI)  
Sent: Tuesday, August 14, 2007 10:35 AM  
To: [redacted] (WF) (FBI)  
Subject: RE: Check to see if an individual currently is/has ever been a WFO Source

SENSITIVE BUT UNCLASSIFIED  
NON-RECORD

b6  
b7C

Thanks, [redacted]

b7E

-----Original Message-----

From: [redacted] (WF) (FBI)  
Sent: Tuesday, August 14, 2007 7:55 AM  
To: [redacted] (WF) (FBI)  
Subject: RE: Check to see if an individual currently is/has ever been a WFO Source

SENSITIVE BUT UNCLASSIFIED  
NON-RECORD



Washington Field

b3  
b6  
b7C  
b7D  
b7E

-----Original Message-----

From: [redacted] (WF) (FBI)  
Sent: Monday, August 13, 2007 5:24 PM  
To: [redacted] (WF) (FBI)  
Subject: Check to see if an individual currently is/has ever been a WFO Source

SENSITIVE BUT UNCLASSIFIED  
NON-RECORD

b6  
b7C



H [redacted]

Can you please run this guy to see if he currently is/has ever been a WFO Source?

Thanks so much!



SA [redacted]

WFO. NVRA [redacted]

Dest [redacted]

Cell [redacted]

Blackberry [redacted]

b6  
b7C  
b7D  
b7E

SENSITIVE BUT UNCLASSIFIED

SENSITIVE BUT UNCLASSIFIED

SENSITIVE BUT UNCLASSIFIED

SENSITIVE BUT UNCLASSIFIED

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/19/2007

To: Washington Field

Attn: NVRA Evidence Room

From: Washington Field

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) -18

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To advise of permanent charge out of evidence 1B1 in captioned investigation.

Details: On 08/14/07, Writer permanently charged out evidence 1B1 in captioned investigation to Special Agent [redacted]

[redacted] A copy of the FD-597 and Chain of Custody for 1B1 is attached to and made part of this EC.

♦♦

b6  
b7C  
b7E

b6  
b7C  
b7E

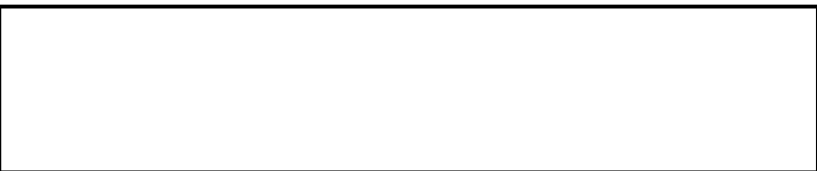
b6  
b7C

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
Receipt for Property Received/Returned/Released/Seized

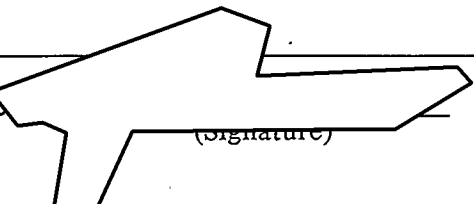
File # 205-WF-236544On (date) 08/14/07

item(s) listed below were:

- ☐ Received From  
☐ Returned To  
☒ Released To  
☐ Seized

(Name) Special Agent (Street Address) (City) Description of Item(s): 

b6  
b7C  
b7E

Received By 

(Signature)

Received From: 

(Signature)

b6  
b7C

08/06/07  
11:31:39

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC  
-

Date Property Acquired: Source from which Property Acquired:

07/24/2007

PITTSBURGH PA

Anticipated Disposition: Acquired By: Case Agent:

b6

b7C

Description of Property:

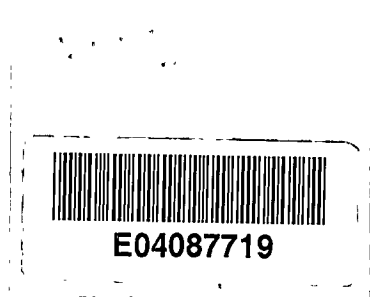
Date Entered b7E

1B 1

Barcode: E04087719

Location:

08/06/2007



Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

EVIDENCE COPY

FEDERAL BUREAU OF INVESTIGATION  
**EVIDENCE CHAIN-OF-CUSTODY**

<b>Evidence Type:</b> <input checked="" type="checkbox"/> General <input type="checkbox"/> Drug <input type="checkbox"/> Valuable <input type="checkbox"/> Firearms <input type="checkbox"/> CART			
Special Handling Instructions		Initial Receipt	Date and Time
<input type="checkbox"/> Batteries <input type="checkbox"/> Biohazard <input checked="" type="checkbox"/> FGJ <input type="checkbox"/> HAZMAT <input type="checkbox"/> Latents <input type="checkbox"/> Refrigerate <input type="checkbox"/> Req. Charging <input type="checkbox"/> None <input type="checkbox"/> Other _____		Signature: _____ Printed Name: SA _____ Reason: Collected	07/24/07 2:45pm

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____		Signature: _____	
Printed Name: SA _____		Printed Name: _____	
Reason: _____		Reason: _____	

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____		Signature: _____	
Printed Name: _____		Printed Name: _____	
Reason: _____		Reason: _____	

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____		Signature: _____	
Printed Name: _____		Printed Name: _____	
Reason: _____		Reason: _____	

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____		Signature: _____	
Printed Name: _____		Printed Name: _____	
Reason: _____		Reason: _____	

Relinquished Custody	Date and Time	Accepted Custody	Date and Time
Signature: _____		Signature: _____	
Printed Name: _____		Printed Name: _____	
Reason: _____		Reason: _____	

**Firearms Certification:**

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Case ID: 205-WF-236544      1B: 1      Barcode: E04087719

b6  
b7C

b6  
b7C

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/04/2007

To: Washington Field

From: Washington Field

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) - 19

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To document a telephone conference call on 08/15/07 between WFO, DOJ, and Legat London concerning captioned investigation.

Details: On 08/15/07, a telephone conference call between WFO, DOJ, and Legat London occurred regarding captioned investigation. Present on the conference call were the following individuals:

WFO ASAC [redacted] SSA [redacted] and SA's [redacted]

[redacted] London FBI Legat [redacted]

[redacted] and ALAT [redacted] and DOJ Attorneys [redacted]

(London) and [redacted]

(Washington, D.C.). The purpose of this conference call was to

[redacted]

[redacted]

[redacted]

[redacted]

b6  
b7C  
b7E

b5  
b6  
b7C  
b7D  
b7E

b5  
b6  
b7C  
b7D  
b7E

b5  
b6  
b7C  
b7D  
b7E

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/04/2007

[REDACTED]

b5  
b7D

[REDACTED] advised that DOJ had discussions with

[REDACTED]

b3  
b5  
b6  
b7C  
b7D  
b7E

About two and one half weeks ago, [REDACTED]

[REDACTED]

b3  
b5  
b6  
b7C  
b7D  
b7E

[REDACTED]

[REDACTED]

b5  
b6  
b7C  
b7D

[REDACTED]

[REDACTED]

b5  
b6  
b7C  
b7D

[REDACTED]

[REDACTED]

b5  
b6  
b7C  
b7D  
b7E

[REDACTED] said that DOJ [REDACTED]

[REDACTED]

b5  
b6  
b7C  
b7D

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/04/2007

[REDACTED]

b3  
b5

[REDACTED]

b3  
b5  
b6  
b7C  
b7D  
b7E

DOJ is meeting with [REDACTED]

[REDACTED]

[REDACTED]

b5  
b6  
b7C  
b7D

It was requested by DOJ that [REDACTED]

[REDACTED]

b3  
b5  
b6  
b7C  
b7D  
b7E

[REDACTED]

[REDACTED]

b3  
b5  
b6  
b7C  
b7D  
b7E

[REDACTED]



To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/04/2007

[REDACTED]

[REDACTED]

b5  
b6  
b7C  
b7D  
b7E

Regarding DOJ's meeting with [REDACTED]

[REDACTED]

b5  
b6  
b7C  
b7E

[REDACTED] He said  
he would look into it and call SA [REDACTED] once he has an answer.

♦♦

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/04/2007

To: Washington Field

From: Washington Field

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) -20

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To document an all agency meeting at DOJ on 08/16/07 regarding captioned investigation.

Details: On 08/16/07, an all agency meeting was held at DOJ regarding captioned investigation. Present at the meeting were the following individuals: FBI SA's [redacted] [redacted] SA [redacted] SA [redacted] and DOJ Prosecutors [redacted] and DOJ Paralegal [redacted]. The purpose of the meeting was to discuss progress made in the investigative/prosecutive plan for captioned investigation. The following was discussed:

[redacted]

b6  
b7C  
b7E

b3  
b5  
b6  
b7C  
b7E

b3  
b5  
b6  
b7C  
b7E

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/04/2007



b3  
b5  
b6  
b7C  
b7E

The team then discussed what topics need to be covered during the [redacted] interview, and [redacted] provided copies of [redacted] documents on CDs to the team for their review.

b5  
b6  
b7C  
b7E

♦♦

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/01/2007

To: Washington Field

From: Washington Field

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) -21

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To document an all agency meeting at DOJ on 07/31/07 regarding captioned investigation.

Details: On 07/31/07, an all agency meeting was held at DOJ regarding captioned investigation. Present at the meeting were the following individuals: FBI SSA [redacted] and FBI SA's [redacted] SSA [redacted] and [redacted] RAC [redacted] and DOJ Prosecutors [redacted] (via teleconference call), and DOJ Paralegal [redacted]

[redacted]

[redacted]

[redacted]

[redacted]

b6  
b7C  
b7E

b5  
b6  
b7C

b3  
b5  
b6  
b7C  
b7E

b3  
b5  
b6  
b7C  
b7E

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 08/01/2007

The team discussed [REDACTED]

[REDACTED]

b5  
b6  
b7C  
b7D  
b7E

The team discussed how [REDACTED]

[REDACTED]

The team discussed the [REDACTED]

[REDACTED]

b3  
b5  
b6  
b7C  
b7D  
b7E

The team discussed [REDACTED]

[REDACTED]

b3  
b5  
b7D  
b7E

The team discussed [REDACTED]

[REDACTED]

b5  
b6  
b7C  
b7D  
b7E

[REDACTED] the team will be in a position to discuss interviewing these individuals.



Each agency was given a copy of [REDACTED]

[REDACTED]

b5  
b6  
b7C  
b7D  
b7E

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 08/01/2007



to   advised that each agency needs to submit a 6e list

b3  
b5  
b6  
b7C  
b7E

♦♦

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/04/2007

To: Washington Field

From: Washington Field

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) -22

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To document a conference call on 08/08/07 between WFO, DOJ, and Legat London concerning captioned investigation.

Details: On 08/08/07, a conference call between WFO, DOJ, and Legat London occurred regarding captioned investigation. Present on the conference call were the following individuals: WFO FBI SA's [redacted] London FBI SA (ALAT) [redacted]

[redacted] and DOJ Prosecutors [redacted]

[redacted] The purpose of this conference call was to explain to DOJ how WFO [redacted]

[redacted] The following was discussed:

[redacted]

b6  
b7C  
b7E

b5  
b6  
b7C  
b7D  
b7E

b5  
b6  
b7C  
b7D  
b7E

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/04/2007

[Redacted]

b5  
b6  
b7C  
b7D  
b7E

[Redacted]

[Redacted]

b5  
b6  
b7C  
b7D  
b7E

[Redacted]

[Redacted]

[Redacted]

b5  
b6  
b7C  
b7D  
b7E

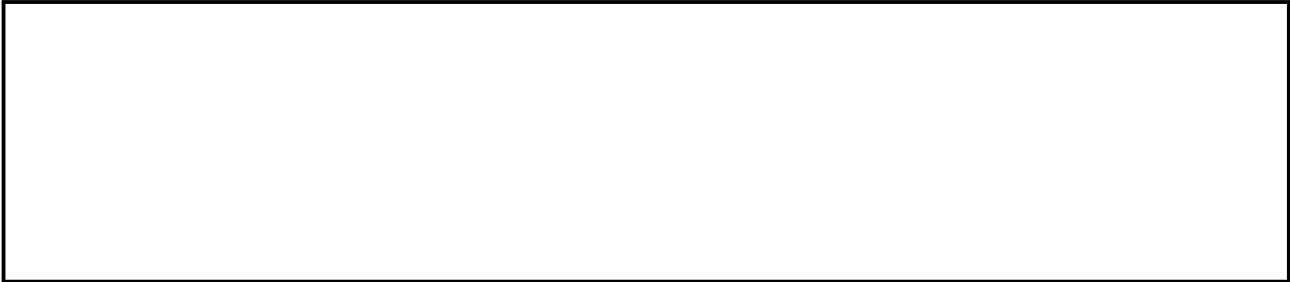
[Redacted]



To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/04/2007

[redacted] agreed that the team must proceed with a video  
teleconference call and DOJ Attorney [redacted] needs to  
participate in this call.

b5  
b6  
b7C  
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♦♦

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/04/2007

To: Washington Field

From: Washington Field

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) ~23

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To document an all agency meeting at DOJ on 08/07/07 regarding captioned investigation.

Details: On 08/07/07, an all agency meeting was held at DOJ regarding captioned investigation. Present at the meeting were the following individuals: FBI SSA [redacted] and FBI SA's [redacted] DCIS SSA [redacted] and SA [redacted] and DOJ Prosecutors [redacted] and DOJ Paralegal [redacted] The purpose of the meeting was [redacted]

investigation. The following was discussed:

[redacted]

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b7E

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b7E

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/04/2007

[Redacted]

The U.S. is to prepare a memo explaining our

[Redacted]

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b6  
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DOJ had a meeting with [Redacted]

[Redacted]

[Redacted]

[Redacted]

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b7C  
b7D  
b7E

[Redacted]

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b7D  
b7E

[Redacted]

[Redacted]

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/04/2007



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# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE

**Date:** 08/22/2007

**To:** Washington Field

**From:** Washington Field

[redacted] NVRA

**Contact:** SA [redacted]

**Approved By:** [redacted]

**Drafted By:** [redacted]

**Case ID #:** 205-WF-236544 (Pending)-24

**Title:** BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc.;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FCPA

**Synopsis:** Document meeting held on 08/15/2007 with [redacted]  
to discuss the status of subpoenas.

**Details:** On 08/15/2007, writer and Special Agent [redacted]  
participated telephonically in a meeting concerning the status of

[redacted] Department of Justice Attorneys [redacted]

[redacted]  
were present at the meeting along with [redacted] general  
counsel, [redacted] external  
counsel, [redacted] of Linklaters.

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b7E

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b7E

b3  
b6  
b7C  
b7E

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 08/22/2007

[Redacted]

[Redacted]

b6  
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[Redacted]

The Al-Yamamah contract is a

[Redacted]

[Redacted]

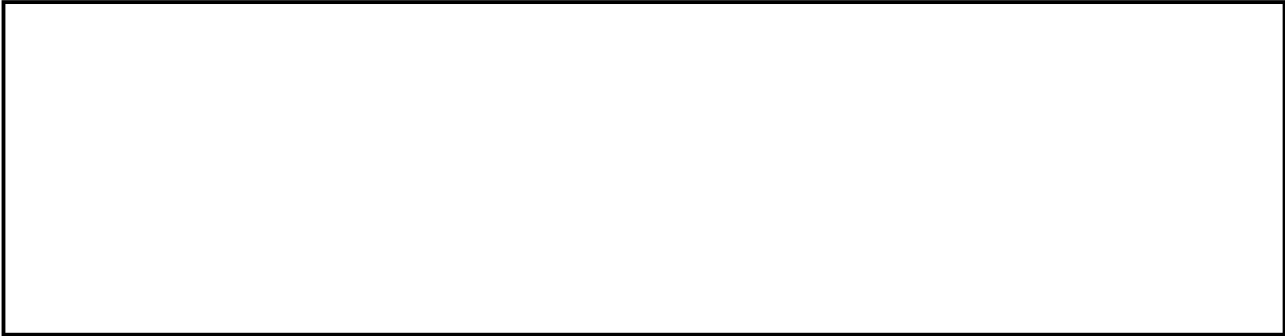
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[Redacted]

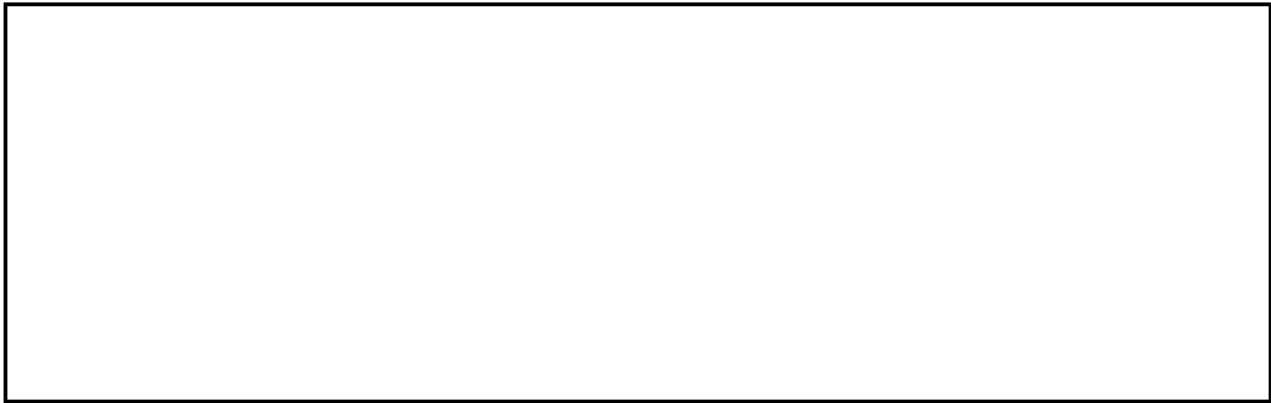
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b7E

[Redacted]

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 08/22/2007



b3  
b7D  
b7E



b3  
b7D  
b7E



b3  
b7D  
b7E



To: Washington Field From: Washington Field  
Re: 205-WF-236544, 08/22/2007

The meeting was then adjourned

b3  
b7D  
b7E

♦♦



# FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 06/15/2007

To: Criminal Investigative

Attn: Public Corruption Unit

UC  
SSA

b6  
b7C

From: Washington Field Office

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

b6  
b7C  
b7E

Drafted By: [redacted]

Case ID #: 205-WF-233891 (Pending)

Title: BAE SYSTEMS PLC;  
FOREIGN CORRUPTION PRACTICES ACT OF 1977

**Synopsis:** To provide a synopsis of WFO's ongoing investigation concerning BAE and request FBI Headquarter's approval to open an investigation concerning BAE payments made to Saudi Arabia government officials.

**Details:** BAE Systems Plc (BAE) is the largest defense production company in Europe, with its headquarters established in the United Kingdom (UK). BAE has representative facilities on five continents, to include North America (the United States). In the United States, BAE is the seventh largest defense company. BAE employs approximately 88,000 people world-wide and boasts over 100 new inventions each year. In 2006, BAE's annual sales exceeded £13.7 billion GBP (\$25.4 billion USD) and spent in excess of £1.2 billion GBP (\$2.1 billion USD) in annual R&D.

In May of 2006, an Foreign Corrupt Practices Act (FCPA) investigation was opened by WFO concerning BAE. At the time the FBI was opening this investigation DOJ advised that [redacted]

[redacted]

b7D  
b7E

The FBI and DOJ focused its investigation on the suspected corruption of [redacted]

b7D  
b7E

To: Criminal Investigative From: Washington Field Office  
Re: 205-WF-233891, 06/15/2007

[REDACTED]

b7D  
b7E

The FBI's Legat in London, England, has also provided

[REDACTED]

[REDACTED]

[REDACTED]

b7D

The DOJ and FBI's investigation concerning [REDACTED]

[REDACTED]

To date in the investigation, the FBI and DOJ have  
conducted interviews of [REDACTED]

[REDACTED]

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b7D  
b7E

Through these interviews, it was discovered that [REDACTED]

[REDACTED]

In order to be in compliance with the new legislation,

[REDACTED]

b7D  
b7E

[REDACTED]

In addition to the formation of [REDACTED]

[REDACTED]

b7D  
b7E

To: Criminal Investigative From: Washington Field Office  
Re: 205-WF-233891, 06/15/2007

[REDACTED]

[REDACTED]

[REDACTED]

b6  
b7C  
b7D  
b7E

[REDACTED]

The ongoing investigation is focused on determining whether [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

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b7E

On December 14, 2006, [REDACTED]

[REDACTED]

[REDACTED] it was decided that the investigation was to be discontinued.

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b7D  
b7E

The decision to discontinue the investigation [REDACTED]

[REDACTED]

To: Criminal Investigative From: Washington Field Office  
Re: 205-WF-233891, 06/15/2007

Director is duty bound to consider these changes in  
circumstances.

Because of this, [redacted] contacted the FBI Legat in  
London on March 30, 2007, [redacted]

[redacted]

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[redacted]

In April 2007, SA [redacted] learned of the [redacted]

[redacted]

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b7E

At the request of WFO, DOJ [redacted]

[redacted]

b7D  
b7E

To: Criminal Investigative From: Washington Field Office  
Re: 205-WF-233891, 06/15/2007

[REDACTED]

[REDACTED]

[REDACTED]

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b7C  
b7D  
b7E

During its investigation, [REDACTED]

[REDACTED]

[REDACTED]

b5  
b6  
b7C  
b7D  
b7E

[REDACTED]

[REDACTED]

b5  
b7D  
b7E

To: Criminal Investigative From: Washington Field Office  
Re: 205-WF-233891, 06/15/2007

In early 2002, the U.K.'s new foreign bribery law took effect. On February 11, 2002, [REDACTED]

[REDACTED]

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[REDACTED]

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b7E

[REDACTED]

b5  
b6  
b7C  
b7D  
b7E

[REDACTED]

b5  
b7D  
b7E

To: Criminal Investigative From: Washington Field Office  
Re: 205-WF-233891, 06/15/2007

[REDACTED]

[REDACTED]

At this time, [REDACTED]

[REDACTED]

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[REDACTED]

[REDACTED]

b5  
b7D  
b7E

<sup>3</sup>We expect that further investigation will unearth documents and testimony to support these assertions.

[REDACTED]

b7E

To: Criminal Investigative From: Washington Field Office  
Re: 205-WF-233891, 06/15/2007



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<sup>6</sup>If an investigation were to reveal conduct of employees or agents of BAE's North American subsidiary in furtherance of corrupt payments to [redacted] then BAE's subsidiary could be subject to prosecution under 15 U.S.C. §77d-2, which criminalizes corrupt payments to foreign officials made by non-issuer "domestic concerns."

b6  
b7C





To: Criminal Investigative From: Washington Field Office  
Re: 205-WF-233891, 06/15/2007

LEAD(s) :

Set Lead 1: (Action)

CRIMINAL INVESTIGATIVE

AT WASHINGTON, DC

To provide WFO with FBIHQ approval to open an investigation into BAE payments made to Saudi Arabian government officials.

♦♦

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/18/2007

To: Washington Field

From: Washington Field

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) - 26

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

**Synopsis:** To document a telephone conference call on 09/14/07 between WFO, DOJ, and Legat London concerning captioned investigation.

**Details:** On 09/14/07, a telephone conference call between WFO, DOJ, and Legat London occurred regarding captioned investigation. Present on the conference call were the following individuals:

[redacted]

[redacted]

[redacted]

b6  
b7C  
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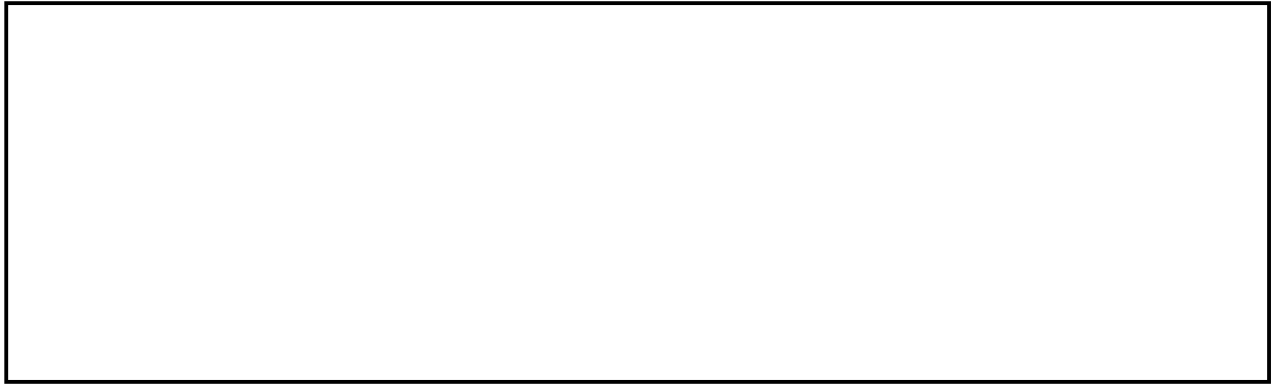
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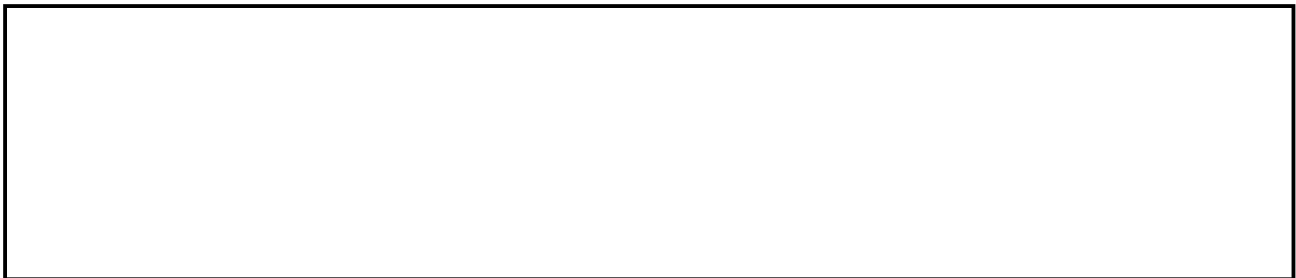
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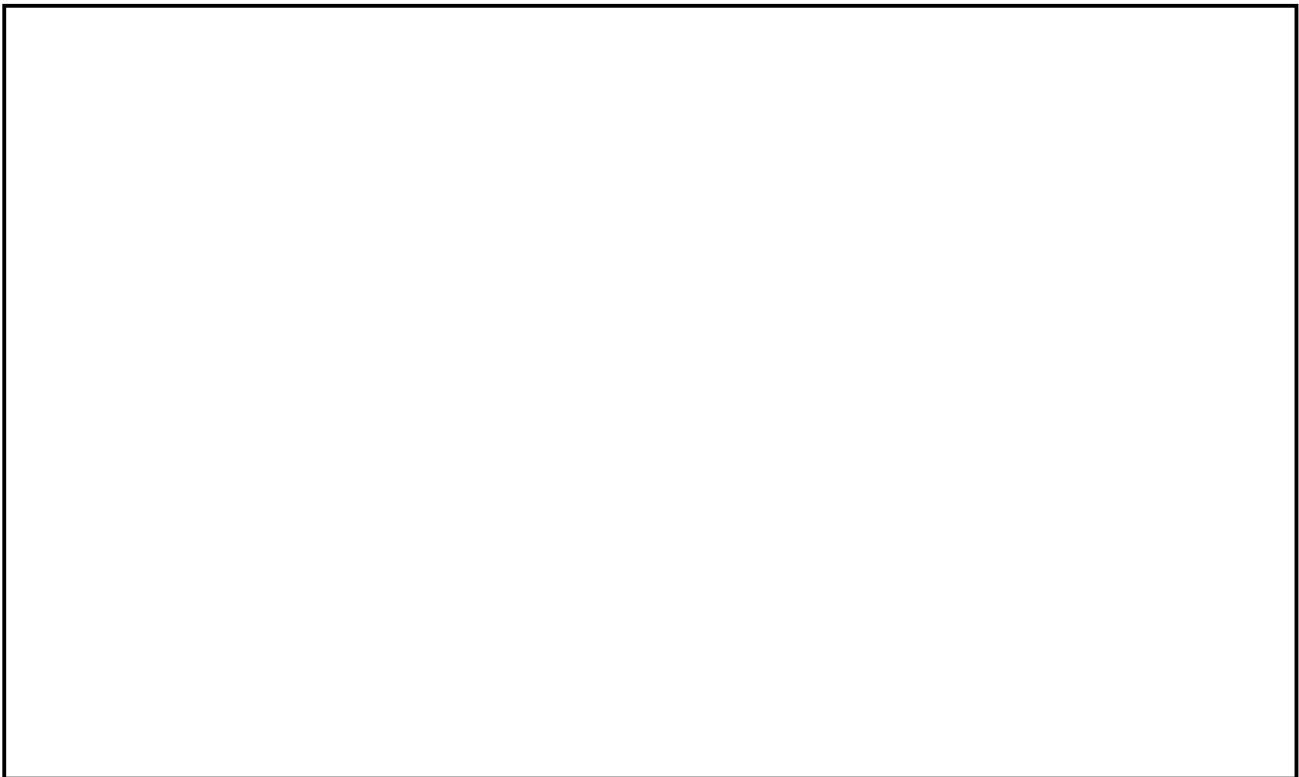
To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/18/2007



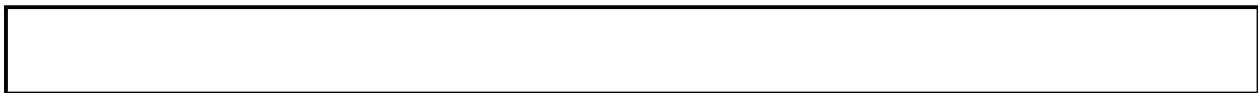
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b7D



To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/18/2007

[Redacted]

b3  
b5  
b7D

There will be a meeting [Redacted]

[Redacted]

[Redacted]

b3  
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b7D

[Redacted]

[Redacted]

b3  
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b7D

[Redacted]

b5  
b6  
b7C

♦♦

# FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 09/24/2007

To: Salt Lake City

Attn: Coeur d'Alene RA

From: Washington Field

NVRA/Squad [REDACTED]

Contact: SA [REDACTED]  
SA [REDACTED]

b6  
b7C  
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Approved By: [REDACTED]

Drafted By: [REDACTED]

Case ID #: 205-WF-236544 (Pending) -27

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: Request assistance from Salt Lake City, Couer d'Alene RA, in locating [REDACTED]

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b7C

Details: Captioned public corruption investigation, which is unclassified but highly sensitive, involves accusations of BAE violating the Foreign Corrupt Practices Act of 1977.

Washington Field is trying to locate [REDACTED]

b6  
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b7E

[REDACTED]  
relevant information concerning captioned investigation.

Based on information obtained from [REDACTED]

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b7D

[REDACTED]  
[REDACTED] and it is believed that he was very unhappy about [REDACTED]  
[REDACTED] It is unknown whether or not [REDACTED] will talk with the FBI and cooperate in captioned investigation.

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b7C

Washington Field is requesting Salt Lake [REDACTED]

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b7E

[REDACTED]  
b6  
b7C

To: Salt Lake City From: Washington Field  
Re: 205-WF-236544, 09/24/2007



b6  
b7C  
b7E



b6  
b7C  
b7E

**Descriptive Data:**

Main Subject

Name -

Last:

First:

Middle:



Race:

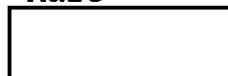
White

Sex:

Male

DOB:

POB:



DLN:

DLN:

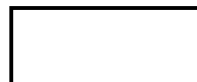
DLN:



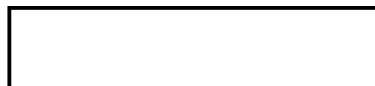
b6  
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FBI:

Criminal History:



Locations:



To: Salt Lake City From: Washington Field  
Re: 205-WF-236544, 09/24/2007

Additional:

SOC:

Height/Weight:

Hair/Eyes:

Occupations:

Alias(es) -

Last:

First:

Middle:

Soc:

Address(es) -

House #:

City:

State:

Postal Code:

House #:

City:

State:

Postal Code:

House #:

City:

State:

Postal Code:

House #:

City:

State:

Postal Code:

House #:

City:

State:

Postal Code:

Vehicle:

Currently registered is a  
1978 white

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b7C

b6  
b7C

b6  
b7C

To: Salt Lake City From: Washington Field  
Re: 205-WF-236544, 09/24/2007

He has had several other  
vehicles whose registrations  
expired in [REDACTED]

Miscellaneous -

Wife [REDACTED]  
DOB: [REDACTED]  
SOC: [REDACTED]

b6  
b7C



To: Salt Lake City From: Washington Field  
Re: 205-WF-236544, 09/24/2007

LEAD(s):

Set Lead 1: (Action)

SALT LAKE CITY

AT [REDACTED]

Run and review [REDACTED] criminal history in [REDACTED]

[REDACTED]

b6  
b7C  
b7E

**FEDERAL BUREAU OF INVESTIGATION**

Precedence: ROUTINE

Date: 10/01/2007

To: Washington Field

From: Washington Field

	NVRA
--	------

Contact: SA

Approved By:

Drafted By:

Case ID #: 205-WF-236544 (Pending) - 28

**Title:** BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To document a meeting with

Details: On 09/28/07, Writer attended a meeting with

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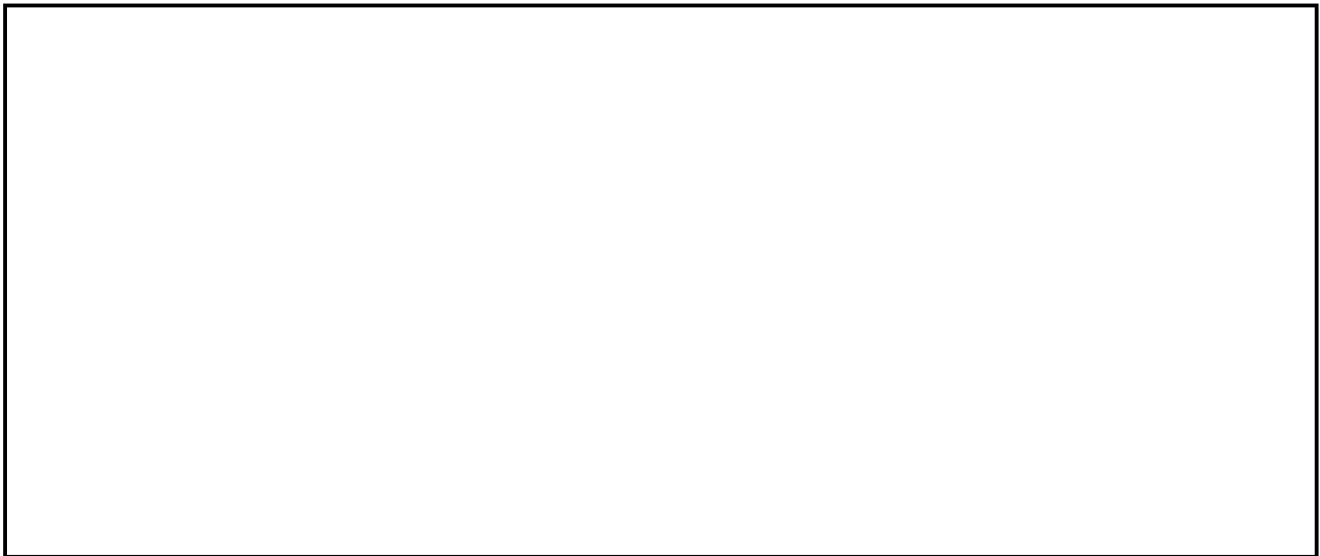
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/b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 10/01/2007



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b7E



To: Washington Field From: Washington Field  
Re: 205-WF-236544, 10/01/2007

DOJ is to get back to [REDACTED]

After this meeting ended, prosecutors and investigators met briefly. [REDACTED]

[REDACTED] he would instead reach out to the committee and set up an independent meeting with someone.

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# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 10/01/2007

To: Washington Field

From: Washington Field

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) -29

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc.;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FCPA

Synopsis: Document meeting held on [redacted]  
[redacted]

Enclosure(s): Letter from the DOJ to [redacted]  
[redacted]

Details: On 09/18/2007, writer participated in a meeting  
concerning [redacted]  
[redacted]  
[redacted]  
[redacted]

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b7E

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 10/01/2007

[Redacted]

[Redacted]

[Redacted]

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b7E

[Redacted]

[Redacted]

b3  
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b7C  
b7D  
b7E

[Redacted]

[Redacted]

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 10/01/2007

A summary of specific information that was requested  
[REDACTED]  
is documented in the enclosed letter from the DOJ.

b3  
b7E

♦♦